

# Functions on Distributive Lattices with the Congruence Substitution Property: Some Problems of Grätzer from 1964

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Let  $L$  be a bounded distributive lattice. For  $k \geq 1$ , let  $S_k(L)$  be the lattice of  $k$ -ary functions on  $L$  with the congruence substitution property (Boolean functions); let  $S(L)$  be the lattice of all Boolean functions. The lattices that can arise as  $S_k(L)$  or  $S(L)$  for some bounded distributive lattice  $L$  are characterized in terms of their Priestley spaces of prime ideals. For bounded distributive lattices  $L$  and  $M$ , it is shown that  $S_1(L) \cong S_1(M)$  implies  $S_k(L) \cong S_k(M)$ . If  $L$  and  $M$  are finite, then  $S_k(L) \cong S_k(M)$  implies  $L \cong M$ . Some problems of Grätzer dating to 1964 are thus solved. © 2000 Academic Press

*Key Words:* (bounded) distributive lattice; (partially) ordered topological space; Priestley duality; congruence substitution property; Boolean function; affine completeness; function lattice.

## 1. THE PROBLEM

Let  $L$  be a bounded distributive lattice and let  $k \geq 1$ . A function  $f: L^k \rightarrow L$  has the *congruence substitution property* if, for every congruence  $\theta$  of  $L$ , and all  $(a_1, b_1), \dots, (a_k, b_k) \in \theta$ , we have  $f(a_1, \dots, a_k) \theta f(b_1, \dots, b_k)$ . The set of all such functions forms a bounded distributive lattice, denoted  $S_k(L)$  (also called the lattice of *Boolean* functions in [3]). Let  $S(L)$  be the lattice of all Boolean functions of finite arity (on the variables  $x_1, x_2, \dots$ ).

Grätzer has proposed the following problems [3]:

**PROBLEM 1** (Grätzer, 1964). *Let  $L$  and  $M$  be bounded distributive lattices such that  $S_1(L) \cong S_1(M)$ .*

*Is  $S_k(L)$  necessarily isomorphic to  $S_k(M)$ ?*

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PROBLEM 2 (Grätzer, 1964). *Characterize those lattices isomorphic to  $S_k(L)$  or  $S(L)$  for some bounded distributive lattice  $L$ .*

(See also *General Lattice Theory* [4], Problem II.14.)

We solve both of these problems (Corollary 5.6, Theorem 6.7, and Theorem 6.9).

Grätzer has also proposed the following problem [3]: Given a bounded distributive lattice  $L$ , find every bounded distributive lattice  $M$  such that  $S_k(L) \cong S_k(M)$  (or such that  $S(L) \cong S(M)$ ). (In *General Lattice Theory* [4], Problem II.13, he asks: To what extent do  $S(L)$  and  $S_k(L)$  determine the structure of  $L$ ?)

We prove that, for a finite distributive lattice  $L$ ,  $S_k(L)$  fully determines  $L$ ; but there are infinitely many pairwise nonisomorphic finite distributive lattices  $L_1, L_2, \dots$  such that  $S(L) \cong S(L_n)$  (Theorem 7.1 and Note 7.2).

Along the way, we completely classify the Boolean functions on a bounded distributive lattice  $L$  (Theorem 4.7). Our central result is that  $S_1(S_k(L))$  is canonically isomorphic to  $S_{k+1}(L)$  (Theorem 5.5).

Our proofs rely heavily on Priestley duality for distributive lattices.

## 2. HISTORICAL BACKGROUND

Functions on a general algebra with the congruence substitution property are the focus of the theory of *affine completeness*. (See, for instance, [6].)

It is obvious that every lattice polynomial on a bounded distributive lattice has the congruence substitution property, as does every Boolean algebra polynomial on a Boolean lattice. (For instance,  $(x \wedge y) \vee z' \in S_3(L)$  if  $L$  is Boolean). Grätzer proved the converse ([2], Theorem 1): Every function on a Boolean lattice with the congruence substitution property is a Boolean algebra polynomial (hence the term “Boolean function”). He also characterized those bounded distributive lattices such that every Boolean function is a lattice polynomial ([3], Corollary 3).

The key result for our purposes is the following

THEOREM [3]. *Let  $L$  be a bounded distributive lattice with least element  $0_L$  and greatest element  $1_L$ . Let  $k \geq 1$  and let  $\mathbf{2} := \{0_L, 1_L\}$ .*

*For all  $f: L^k \rightarrow L$ , let  $\phi_f: \mathbf{2}^k \rightarrow L$  be the restriction of  $f$  to  $\mathbf{2}^k$ .*

(1) *For all  $f, g \in S_k(L)$ ,  $f = g$  if and only if  $\phi_f = \phi_g$ .*

(2) *Let  $\phi: \mathbf{2}^k \rightarrow L$ . There exists  $f \in S_k(L)$  such that  $\phi = \phi_f$  if and only if the interval  $[\phi(\vec{b}), \phi(\vec{a}) \vee \phi(\vec{b})]$  is a Boolean lattice for all  $\vec{a}, \vec{b} \in \mathbf{2}^k$  such that  $\vec{a} < \vec{b}$ .*

### 3. MATHEMATICAL BACKGROUND, TERMINOLOGY, AND NOTATION (A PRIMER ON PRIESTLEY DUALITY)

The central reference is [1].

Let  $L$  be a bounded distributive lattice; let  $\mathbf{2} := \{0_L, 1_L\}$ , where  $0_L$  is the least element of  $L$  and  $1_L$  is the greatest element. For  $a, b \in L$ , where  $a \leq b$ , let  $[a, b]_L$  be the interval  $\{c \in L \mid a \leq c \leq b\}$ . Let  $\text{Con } L$  be the congruence lattice of  $L$ . For  $\theta \in \text{Con } L$  and  $a, b \in L$ , we write  $a\theta b$  if  $(a, b) \in \theta$ .

For  $k \geq 1$ , a function  $f: L^k \rightarrow L$  has the *congruence substitution property* if, for all  $\theta \in \text{Con } L$  and all  $a_1, b_1, \dots, a_k, b_k \in L$ ,  $a_i\theta b_i$  ( $i = 1, \dots, k$ ) implies  $f(a_1, \dots, a_k) \theta f(b_1, \dots, b_k)$ . The (bounded distributive) lattice of all such functions, also called the  $k$ -ary *Boolean* functions, is denoted  $S_k(L)$ .

If we view the members of  $S_k(L)$  as functions depending on the variables  $x_1, \dots, x_k$ , we can take the union

$$\bigcup_{k=1}^{\infty} S_k(L)$$

to get the (bounded distributive) lattice  $S(L)$  of all (finitary) Boolean functions.

Let  $P$  be a poset. A *down-set* of  $P$  is a subset  $U \subseteq P$  such that, for all  $p \in P$  and  $u \in U$ ,  $p \leq u$  implies that  $p \in U$ . The poset of clopen down-sets of an ordered topological space  $P$ , partially ordered by inclusion, is a bounded distributive lattice, denoted  $\mathcal{O}(P)$ . (Meet is intersection, join is union,  $0_{\mathcal{O}(P)}$  is  $\emptyset$ , and  $1_{\mathcal{O}(P)}$  is  $P$ .)

A *Priestley space*  $P$  is a compact (partially) ordered topological space such that, for  $p, q \in P$ ,  $p \not\leq q$  implies that  $p \notin U$  and  $q \in U$  for some  $U \in \mathcal{O}(P)$ . Given a bounded distributive lattice  $L$ , the poset  $P(L)$  of prime ideals forms a Priestley space, with the subbasis

$$\{\{I \in P(L) \mid a \in I\}, \{I \in P(L) \mid a \notin I\} \mid a \in L\}.$$

It is well known that  $L$  is isomorphic to  $\mathcal{O}(P(L))$  via the map

$$a \mapsto U_a := \{I \in P(L) \mid a \notin I\}.$$

It is also well known that every Priestley space  $P$  is order-homeomorphic (i.e., order-isomorphic and homeomorphic via the same function) to  $P(\mathcal{O}(P))$  by the map

$$p \mapsto I_p := \{U \in \mathcal{O}(P) \mid p \notin U\}.$$

Indeed, the category  $\mathbf{D}$  of bounded distributive lattices with  $\{0, 1\}$ -preserving homomorphisms is dually equivalent to the category  $\mathbf{P}$  of Priestley spaces

with continuous order-preserving maps. [If  $L$  is a finite distributive lattice, and  $\mathcal{J}(L)$  is its poset of join-irreducibles, then  $L \cong \mathcal{O}(\mathcal{J}(L))$ . If  $P$  is a finite poset, then  $P \cong \mathcal{J}(\mathcal{O}(P))$ .]

Under the dual equivalence functor, a map  $f: L \rightarrow M$  in  $\mathbf{D}$  corresponds to the map  $\phi: P(M) \rightarrow P(L)$  in  $\mathbf{P}$  given by  $\phi(J) = f^{-1}(J)$  for all  $J \in P(M)$ . Similarly, a map  $\phi: P \rightarrow Q$  in  $\mathbf{P}$  corresponds to the map  $f: \mathcal{O}(Q) \rightarrow \mathcal{O}(P)$  in  $\mathbf{D}$  given by  $f(V) = \phi^{-1}(V)$  for all  $V \in \mathcal{O}(Q)$ . (See [8]; [1], 10.25.)

If  $L, M \in \mathbf{D}$ , every prime ideal of  $L \times M$  is of the form  $I \times M$  or  $L \times J$ , where  $I \in P(L)$  and  $J \in P(M)$  ([1], Exercise 9.3). If  $M$  is a  $\{0, 1\}$ -sublattice of  $L \in \mathbf{D}$ , then every  $J \in P(M)$  is of the form  $I \cap M$  for some  $I \in P(L)$ ; moreover, the function  $I \mapsto I \cap M$  is a continuous order-preserving map from  $P(L)$  onto  $P(M)$ .

It is well known (Nachbin's Theorem, [4], Theorem II.1.22) that  $L \in \mathbf{D}$  is Boolean if and only if  $P(L)$  is an antichain (that is, distinct elements are incomparable).

In the sequel, let  $P \in \mathbf{P}$  and let  $L := \mathcal{O}(P)$ .

Every clopen subset of  $P$  is a Priestley space; and for  $U, V \in \mathcal{O}(P)$ ,  $\mathcal{O}(U \setminus V)$  is isomorphic to  $[U \cap V, U]$ . Every clopen subset of  $P \in \mathbf{P}$  is a finite union of sets of the form  $U \setminus V$ , where  $U, V \in \mathcal{O}(P)$ .

For all  $Q \subseteq P$ , let  $\theta_Q := \{(U, V) \in L^2 \mid U \cap Q = V \cap Q\}$ ; if  $Q$  is a singleton  $\{p\}$ , we write  $\theta_p$ . It is well known that  $\text{Con } L = \{\theta_Q \mid Q \subseteq P \text{ is closed}\}$  ([1], 10.27).

Given  $U \subseteq P$ , let  $\downarrow u := \{p \in P \mid p < u \text{ for some } u \in U\}$ ; let  $\text{Max } U$  be the set of maximal elements of the poset  $U$ ; let  $U^0 := P \setminus U$  and let  $U^1 := U$ .

Let  $\mathcal{S}_k(L)$  be the family of  $2^k$ -tuples

$$\{(U_{\vec{\varepsilon}})_{\vec{\varepsilon} \in 2^k} \in L^{2^k} \mid \text{for all } \vec{\delta}, \vec{\varepsilon} \in 2^k, \vec{\delta} < \vec{\varepsilon} \text{ implies } \downarrow U_{\vec{\delta}} \subseteq U_{\vec{\varepsilon}}\}.$$

(Note that  $\mathcal{S}_k(L)$  is  $\{0, 1\}$ -sublattice of  $L^{2^k}$ .)

For all  $p \in P$ ,  $\vec{\varepsilon} \in 2^k$ , let

$$I_{p, \vec{\varepsilon}} := \{(U_{\vec{\eta}})_{\vec{\eta} \in 2^k} \in \mathcal{S}_k(L) \mid p \notin U_{\vec{\varepsilon}}\}.$$

We know that  $P(\mathcal{S}_k(L)) = \{I_{p, \vec{\varepsilon}} \mid p \in P, \vec{\varepsilon} \in 2^k\}$ .

An element  $p \in P$  is *normal* if there exist  $U, V \in L$  such that  $p \in U$ ,  $p \notin V$ , and  $[U \cap V, U]$  is a Boolean lattice; otherwise  $p$  is *special*. (Note that, if  $L$  is finite, every  $p \in P$  is normal.)

For any ordered topological space  $R$ , let  $P \times R$  be the ordered topological space with underlying space  $P \times R$  and partial ordering

$$\leq_{P \times R} := \leq_{P \times R} \setminus \{((p, r), (p, r')) \in (P \times R)^2 \mid p \text{ is normal and } r \neq r'\}.$$

We denote the  $i$ th component of  $\vec{\varepsilon} \in \mathbf{2}^k$  by  $\varepsilon_i$  ( $1 \leq i \leq k$ );  $\vec{\varepsilon}0$  denotes the element of  $\mathbf{2}^{k+1}$  such that

$$(\vec{\varepsilon}0)_i = \begin{cases} \varepsilon_i & \text{if } 1 \leq i \leq k, \\ 0 & \text{if } i = k + 1. \end{cases}$$

Similarly, we define  $\vec{\varepsilon}1 \in \mathbf{2}^{k+1}$ ;  $\vec{\varepsilon}'$  is the complement of  $\vec{\varepsilon}$  in  $\mathbf{2}^k$ .

#### 4. THE LATTICE OF $k$ -ARY BOOLEAN FUNCTIONS

In this section, we completely characterize the  $k$ -ary Boolean functions on a bounded distributive lattice  $L$  (Theorem 4.7). In so doing, we obtain Grätzer's result that every  $f \in S_k(L)$  is determined by its restriction to  $\mathbf{2}^k$ , where  $\mathbf{2} := \{0_L, 1_L\}$ ; we also obtain a new description of the functions  $\phi: \mathbf{2}^k \rightarrow L$  that are restrictions of Boolean functions to  $\mathbf{2}^k$  [easily seen to be equivalent to Grätzer's ([3], Theorem)].

In the sequel, let  $P$  be a Priestley space and let  $L$  be the bounded distributive lattice  $\mathcal{O}(P)$ .

We begin with some trivial observations.

NOTE 4.1. Let  $U \in \mathcal{O}(P)$ . Then  $\downarrow U = U \setminus \text{Max } U$ .

*Proof.* Every clopen subset of  $P$  is in  $\mathbf{P}$ , and so corresponds to the poset of prime ideals of some bounded distributive lattice. By Zorn's Lemma, every prime ideal in such a lattice is contained in a maximal lattice. ■

LEMMA 4.2. Let  $U, V, Q \subseteq P$ . Then  $U \cap Q = V \cap Q$  implies

$$(P \setminus U) \cap Q = (P \setminus V) \cap Q.$$

NOTE 4.3. Let  $U, V \in \mathcal{O}(P)$ . The following are equivalent:

- (1)  $\downarrow U \subseteq V$ ;
- (2)  $U \setminus V$  is an antichain;
- (3)  $[U \cap V, U]_L$  is a Boolean lattice;
- (4)  $[V, U \cup V]_L$  is a Boolean lattice.

*Proof.* Clearly (1) is equivalent to (2), (2) is equivalent to (3), and (3) is equivalent to (4). ■

LEMMA 4.4. *Let  $f \in S_k(L)$ . Then for all  $U_1, \dots, U_k \in L$ ,*

$$f(U_1, \dots, U_k) = \bigcup_{\vec{\varepsilon} \in \mathbf{2}^k} \bigcap_{i=1}^k f(\vec{\varepsilon}) \cap U_i^{\varepsilon_i}.$$

*Proof.* Let  $p \in P$ ; let  $U_1, \dots, U_k \in \mathcal{O}(P)$ .

For  $i = 1, \dots, k$ , let

$$\varepsilon_i = \begin{cases} 1 & \text{if } p \in U_i, \\ 0 & \text{if } p \notin U_i \end{cases}$$

(so that  $p \in U_i^{\varepsilon_i}$  and  $U_i \theta_p \varepsilon_i$ ). Hence  $p \in f(U_1, \dots, U_k)$  if and only if  $p \in f(\varepsilon_1, \dots, \varepsilon_k)$ .

Now assume that  $p \in \bigcap_{i=1}^k f(\vec{\varepsilon}) \cap U_i^{\varepsilon_i}$  for some  $\vec{\varepsilon} \in \mathbf{2}^k$ . Then  $U_i \theta_p \varepsilon_i$  for  $i = 1, \dots, k$ , so that  $f(U_1, \dots, U_k) \theta_p f(\vec{\varepsilon})$  and hence  $p \in f(U_1, \dots, U_k)$ . ■

LEMMA 4.5. *Let  $f \in S_k(L)$ . Then  $(f(\vec{\varepsilon}))_{\vec{\varepsilon} \in \mathbf{2}^k}$  is in  $\mathcal{S}_k(L)$ .*

*Proof.* Let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$  be such that  $\vec{\delta} < \vec{\varepsilon}$ . Assume for a contradiction that  $\downarrow f(\vec{\delta}) \not\subseteq f(\vec{\varepsilon})$ . Then there exist  $p, q \in f(\vec{\delta})$  such that  $p < q$  and  $p \notin f(\vec{\varepsilon})$ .

Let  $U \in \mathcal{O}(P)$  be such that  $p \in U$  and  $q \notin U$ . Then  $U \theta_p 1_L$  and  $U \theta_q 0_L$ .

For  $i = 1, \dots, k$ , let

$$U_i := \begin{cases} U & \text{if } \delta_i < \varepsilon_i, \\ \delta_i & \text{otherwise,} \end{cases}$$

so that  $U_i \theta_p \varepsilon_i$  and  $U_i \theta_q \delta_i$ .

Hence  $q \in f(U_1, \dots, U_k)$ , so that  $p \in f(U_1, \dots, U_k)$ ; but

$$p \notin f(U_1, \dots, U_k),$$

a contradiction. ■

LEMMA 4.6. *Let  $(U_{\vec{\varepsilon}})_{\vec{\varepsilon} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$ . Define  $f: L^k \rightarrow L$  as follows: for  $U_1, \dots, U_k \in L$ , let*

$$f(U_1, \dots, U_k) := \bigcup_{\vec{\varepsilon} \in \mathbf{2}^k} \bigcap_{i=1}^k U_{\vec{\varepsilon}} \cap U_i^{\varepsilon_i}.$$

*Then  $f \in S_k(L)$  and, for all  $\vec{\varepsilon} \in \mathbf{2}^k$ ,  $f(\vec{\varepsilon}) = U_{\vec{\varepsilon}}$ .*

*Proof.* First we show that  $f$  is well defined. Let  $U_1, \dots, U_k \in L$ . Clearly  $f(U_1, \dots, U_k)$  is a clopen subset of  $P$ . Let  $p, q \in P$  be such that  $p < q$  where  $q \in f(U_1, \dots, U_k)$ . We must show that  $p \in f(U_1, \dots, U_k)$ .

Assume not, for a contradiction. There exists  $\vec{\delta} \in \mathbf{2}^k$  such that

$$q \in \bigcap_{i=1}^k U_{\vec{\delta}} \cap U_i^{\delta_i}.$$

For  $i = 1, \dots, k$ , let

$$\varepsilon_i := \begin{cases} \delta_i & \text{if } p \in U_i^{\delta_i}, \\ 1 & \text{otherwise.} \end{cases}$$

For some  $j \in \{1, \dots, k\}$ ,  $\delta_j = 0$  and  $\varepsilon_j = 1$  (or else

$$p \in \bigcap_{i=1}^k U_{\vec{\delta}} \cap U_i^{\delta_i},$$

a contradiction). Hence  $\vec{\delta} < \vec{\varepsilon}$ . Thus  $p \in U_{\vec{\varepsilon}}$ ; and since

$$p \in \bigcap_{i=1}^k U_{\vec{\varepsilon}} \cap U_i^{\varepsilon_i},$$

we have  $p \in f(U_1, \dots, U_k)$ , a contradiction. Hence  $f: L^k \rightarrow L$  is well defined. Clearly  $f \in S_k(L)$ . (See Lemma 4.2.)

Finally, let  $\vec{\varepsilon} \in \mathbf{2}^k$ . We will show that  $f(\vec{\varepsilon}) = U_{\vec{\varepsilon}}$ . Certainly  $\varepsilon_i^{\varepsilon_i} = P$  for  $i = 1, \dots, k$ , so

$$\bigcap_{i=1}^k U_{\vec{\varepsilon}} \cap \varepsilon_i^{\varepsilon_i} = U_{\vec{\varepsilon}}.$$

Now let  $\vec{\delta} \in \mathbf{2}^k$  be distinct from  $\vec{\varepsilon}$ . Then there exists  $i \in \{1, \dots, k\}$  such that  $\delta_i \neq \varepsilon_i$ . If  $\delta_i = 0$  and  $\varepsilon_i = 1$ , we have  $\varepsilon_i^{\delta_i} = \emptyset$ . If  $\delta_i = 1$  and  $\varepsilon_i = 0$ , we have  $\varepsilon_i^{\delta_i} = \emptyset$ . Hence

$$\bigcap_{i=1}^k U_{\vec{\delta}} \cap \varepsilon_i^{\delta_i} = \emptyset.$$

Thus  $f(\vec{\varepsilon}) = U_{\vec{\varepsilon}}$ . ■

The main theorem of this section provides an alternate, unified proof of both [2], Theorem 1 and [3], Theorem. (Note the similarity with [5], Theorem 2.41, which the author came across after proving the main theorem: [5], Theorem 2.41 deals with normal forms for propositional formulas.) Our result extends these theorems by explicitly describing all possible  $k$ -ary Boolean functions.



FIG. 1. The poset  $P$  and the lattice  $L = \mathcal{O}(P)$ .

**THEOREM 4.7.** *The lattices  $S_k(L)$  and  $\mathcal{S}_k(L)$  are isomorphic. Define a map  $\Phi: S_k(L) \rightarrow \mathcal{S}_k(L)$  as follows: for all  $f \in S_k(L)$ , let*

$$\Phi(f) := (f(\vec{e}))_{\vec{e} \in 2^k}.$$

*Define a map  $\Psi: \mathcal{S}_k(L) \rightarrow S_k(L)$  as follows: for all  $(U_{\vec{e}})_{\vec{e} \in 2^k} \in \mathcal{S}_k(L)$ , let  $\Psi((U_{\vec{e}})_{\vec{e} \in 2^k}): L^k \rightarrow L$  be the function defined for all  $U_1, \dots, U_k \in L$  by*

$$\Psi((U_{\vec{e}})_{\vec{e} \in 2^k})(U_1, \dots, U_k) := \bigcup_{\vec{e} \in 2^k} \bigcap_{i=1}^k U_{\vec{e}} \cap U_i^{e_i}.$$

*Then  $\Phi$  and  $\Psi$  are mutually inverse order-isomorphisms.*

*Proof.* The theorem follows from Lemmas 4.4–4.6. ■

The theorem implies that the generic unary Boolean function  $f: L \rightarrow L$  is given by

$$f(U) = (U_0 \setminus U) \cup (U_1 \cap U),$$

where  $U_0, U_1 \in L$  are such that  $\downarrow U_0 \subseteq U_1$ .

**EXAMPLE 4.8.** Let  $P$  be the two-element chain  $\{a, b\}$  where  $a < b$ ; then  $L = \mathcal{O}(P)$  is the three-element chain  $\{\emptyset, a, ab\}$  (Fig. 1).

Clearly  $\downarrow \emptyset = \downarrow a = \emptyset$  and  $\downarrow ab = a$  (Table I).

TABLE I

The Members of  
 $\mathcal{O}(P)$  and Their  
Maximal Elements

$U$	$\downarrow U$
$\emptyset$	$\emptyset$
$a$	$\emptyset$
$ab$	$a$



Hence  $\mathcal{S}_1(L)$  is the lattice

$$\{(\emptyset, \emptyset), (\emptyset, a), (\emptyset, ab), (a, \emptyset), (a, a), (a, ab), (ab, a), (ab, ab)\}$$

(Fig. 2).

The lattice  $\mathcal{S}_2(L)$  has 52 elements, which we list in  $2 \times 2$  matrix notation:

$$\begin{pmatrix} \emptyset & \emptyset \\ \emptyset & \emptyset \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ \emptyset & a \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ \emptyset & ab \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ a & \emptyset \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ a & a \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ a & ab \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ ab & a \end{pmatrix} \begin{pmatrix} \emptyset & \emptyset \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} \emptyset & a \\ \emptyset & \emptyset \end{pmatrix} \begin{pmatrix} \emptyset & a \\ \emptyset & a \end{pmatrix} \begin{pmatrix} \emptyset & a \\ \emptyset & ab \end{pmatrix} \begin{pmatrix} \emptyset & a \\ a & \emptyset \end{pmatrix} \begin{pmatrix} \emptyset & a \\ a & a \end{pmatrix} \begin{pmatrix} \emptyset & a \\ a & ab \end{pmatrix} \begin{pmatrix} \emptyset & a \\ ab & a \end{pmatrix} \begin{pmatrix} \emptyset & a \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} \emptyset & ab \\ \emptyset & a \end{pmatrix} \begin{pmatrix} \emptyset & ab \\ \emptyset & ab \end{pmatrix} \quad \begin{pmatrix} \emptyset & ab \\ a & a \end{pmatrix} \begin{pmatrix} \emptyset & ab \\ a & ab \end{pmatrix} \begin{pmatrix} \emptyset & ab \\ ab & a \end{pmatrix} \begin{pmatrix} \emptyset & ab \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} a & \emptyset \\ \emptyset & \emptyset \end{pmatrix} \begin{pmatrix} a & \emptyset \\ \emptyset & a \end{pmatrix} \begin{pmatrix} a & \emptyset \\ \emptyset & ab \end{pmatrix} \begin{pmatrix} a & \emptyset \\ a & \emptyset \end{pmatrix} \begin{pmatrix} a & \emptyset \\ a & a \end{pmatrix} \begin{pmatrix} a & \emptyset \\ a & ab \end{pmatrix} \begin{pmatrix} a & \emptyset \\ ab & a \end{pmatrix} \begin{pmatrix} a & \emptyset \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} a & a \\ \emptyset & \emptyset \end{pmatrix} \begin{pmatrix} a & a \\ \emptyset & a \end{pmatrix} \begin{pmatrix} a & a \\ \emptyset & ab \end{pmatrix} \begin{pmatrix} a & a \\ a & \emptyset \end{pmatrix} \begin{pmatrix} a & a \\ a & a \end{pmatrix} \begin{pmatrix} a & a \\ a & ab \end{pmatrix} \begin{pmatrix} a & a \\ ab & a \end{pmatrix} \begin{pmatrix} a & a \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} a & ab \\ \emptyset & a \end{pmatrix} \begin{pmatrix} a & ab \\ \emptyset & ab \end{pmatrix} \quad \begin{pmatrix} a & ab \\ a & a \end{pmatrix} \begin{pmatrix} a & ab \\ a & ab \end{pmatrix} \begin{pmatrix} a & ab \\ ab & a \end{pmatrix} \begin{pmatrix} a & ab \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} ab & a \\ a & a \end{pmatrix} \begin{pmatrix} ab & a \\ a & ab \end{pmatrix} \begin{pmatrix} ab & a \\ ab & a \end{pmatrix} \begin{pmatrix} ab & a \\ ab & ab \end{pmatrix} \\ \begin{pmatrix} ab & ab \\ a & a \end{pmatrix} \begin{pmatrix} ab & ab \\ a & ab \end{pmatrix} \begin{pmatrix} ab & ab \\ ab & a \end{pmatrix} \begin{pmatrix} ab & ab \\ ab & ab \end{pmatrix} \end{matrix}$$

EXAMPLE 4.9. Let  $P$  be the three-element fence  $\{a, b, c\}$  where  $b > a < c$ ; then  $L = \mathcal{O}(P)$  is the lattice  $\{\emptyset, a, ab, ac, abc\}$  (Fig. 3).

Clearly  $\downarrow \emptyset = \downarrow a = \emptyset$  and  $\downarrow ab = \downarrow ac = \downarrow abc = a$  (Table II).

Then  $\mathcal{S}_1(L)$  is the lattice  $\{\emptyset, a\} \times L \cup \{ab, ac, abc\} \times \{a, ab, ac, abc\}$  (Fig. 4).

EXAMPLE 4.10. Let  $Q$  be the four-element fence  $\{w, x, y, z\}$ , where  $w < x > y < z$ ; then  $M = \mathcal{O}(Q)$  is the lattice  $\{\emptyset, w, y, wy, yz, wxy, wyz, wxyz\}$  (Fig. 5).

Clearly  $\downarrow \emptyset = \downarrow w = \downarrow y = \downarrow wy = \emptyset$ ,  $\downarrow yz = \downarrow wyz = y$ , and  $\downarrow wxy = \downarrow wxyz = wy$  (Table III).

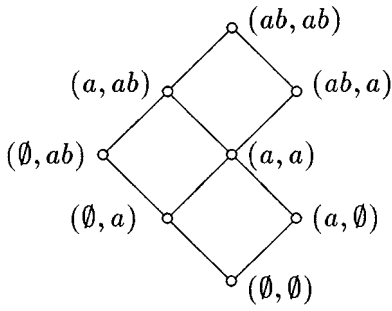
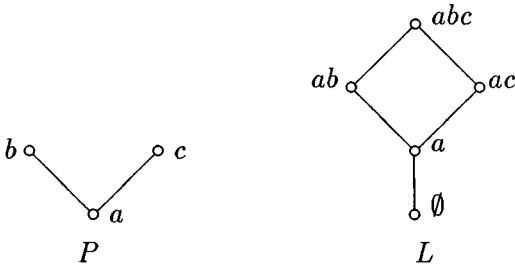
FIG. 2. The lattice  $\mathcal{S}_1(L)$ .FIG. 3. The poset  $P$  and the lattice  $L = \mathcal{O}(P)$ .

TABLE II

The Members of  
 $\mathcal{O}(P)$  and Their  
 Maximal Elements

$U$	$\downarrow U$
$\emptyset$	$\emptyset$
$a$	$\emptyset$
$ab$	$a$
$ac$	$a$
$abc$	$a$

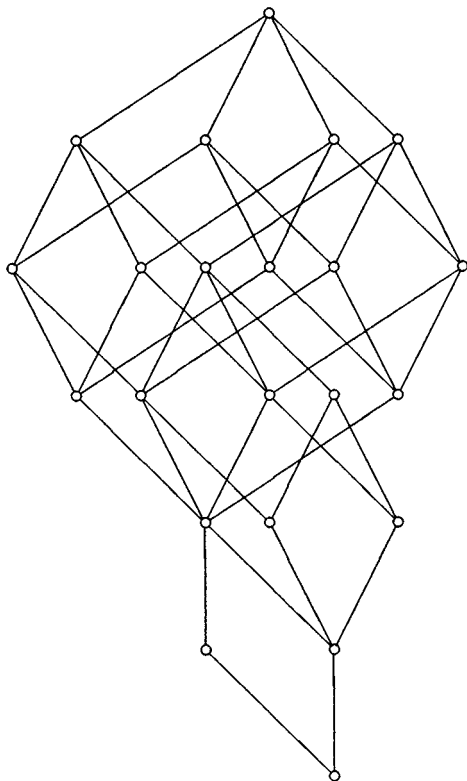
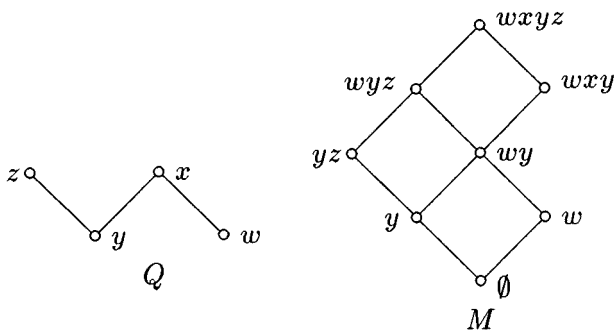
FIG. 4. The lattice  $\mathcal{S}_1(L)$ .FIG. 5. The poset  $Q$  and the lattice  $M = \mathcal{O}(Q)$ .

TABLE III

The Members of  
 $\mathcal{O}(Q)$  sans Theirs  
 Maximal Elements

$U$	$\downarrow U$
$\emptyset$	$\emptyset$
$y$	$\emptyset$
$yz$	$y$
$w$	$\emptyset$
$wy$	$\emptyset$
$wyz$	$y$
$wxy$	$wy$
$wxyz$	$wy$

Thus  $\mathcal{S}_1(M)$  has 52 elements:

$$\begin{aligned}
 &(\emptyset, \emptyset) \quad (\emptyset, y) \quad (\emptyset, yz) \quad (\emptyset, w) \quad (\emptyset, wy) \quad (\emptyset, wyz) \quad (\emptyset, wxy) \quad (\emptyset, wxyz) \\
 & \\
 &(y, \emptyset) \quad (y, y) \quad (y, yz) \quad (y, w) \quad (y, wy) \quad (y, wyz) \quad (y, wxy) \quad (y, wxyz) \\
 & \quad (yz, y) \quad (yz, yz) \quad \quad (yz, wy) \quad (yz, wyz) \quad (yz, wxy) \quad (yz, wxyz) \\
 &(w, \emptyset) \quad (w, y) \quad (w, yz) \quad (w, w) \quad (w, wy) \quad (w, wyz) \quad (w, wxy) \quad (w, wxyz) \\
 &(wy, \emptyset) \quad (wy, y) \quad (wy, yz) \quad (wy, w) \quad (wy, wy) \quad (wy, wyz) \quad (wy, wxy) \quad (wy, wxyz) \\
 & \quad (wyz, y) \quad (wyz, yz) \quad (wyz, wy) \quad (wyz, wyz) \quad (wyz, wxy) \quad (wyz, wxyz) \\
 & \quad \quad (wxy, wy) \quad (wxy, wyz) \quad (wxy, wxy) \quad (wxy, wxyz) \\
 & \quad \quad \quad (wxyz, wy) \quad (wxyz, wyz) \quad (wxyz, wxy) \quad (wxyz, wxyz).
 \end{aligned}$$

## 5. BOOLEAN FUNCTIONS ON THE LATTICE OF BOOLEAN FUNCTIONS: THE SOLUTION TO GRÄTZER'S FIRST PROBLEM

In this section, we solve Problem 1 of Section 1, posed by Grätzer in 1964 (Corollary 5.6): The lattice  $S_k(L)$  (for a bounded distributive lattice  $L$ ) is determined up to isomorphism by the lattice  $S_1(L)$ . Indeed, we prove the surprising result that  $S_{k+1}(L)$  is canonically isomorphic to  $S_1(S_k(L))$ , the lattice of unary Boolean functions on the lattice of  $k$ -ary Boolean functions of  $L$  (Theorem 5.5).

Recall that  $P \in \mathbf{P}$  and  $L = \mathcal{O}(P)$ .

LEMMA 5.1. Let  $\vec{U} := (U_{\vec{e}})_{\vec{e} \in \mathbf{2}^k}$ ,  $\vec{V} := (V_{\vec{e}})_{\vec{e} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that

$$[U_{\vec{\delta}} \cap V_{\vec{e}}, U_{\vec{\delta}}]_L$$

is a Boolean lattice whenever  $\vec{\delta}, \vec{e} \in \mathbf{2}^k$  and  $\vec{\delta} < \vec{e}$ . Choose  $W_{\vec{e}} \in [U_{\vec{e}} \cap V_{\vec{e}}, U_{\vec{e}}]_L$  for all  $\vec{e} \in \mathbf{2}^k$ .

Then  $(W_{\vec{e}})_{\vec{e} \in \mathbf{2}^k}$  belongs to  $\mathcal{S}_k(L)$ .

*Proof.* Let  $\vec{\delta}, \vec{e} \in \mathbf{2}^k$  be such that  $\vec{\delta} < \vec{e}$ . Then

$$\downarrow W_{\vec{\delta}} \subseteq \downarrow U_{\vec{\delta}} \subseteq U_{\vec{e}} \cap V_{\vec{e}} \subseteq W_{\vec{e}}$$

(using Note 4.3). ■

COROLLARY 5.2. Let  $\vec{U} := (U_{\vec{e}})_{\vec{e} \in \mathbf{2}^k}$ ,  $\vec{V} := (V_{\vec{e}})_{\vec{e} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that

$$[U_{\vec{\delta}} \cap V_{\vec{e}}, U_{\vec{\delta}}]_L$$

is a Boolean lattice whenever  $\vec{\delta}, \vec{e} \in \mathbf{2}^k$  and  $\vec{\delta} \leq \vec{e}$ .

Then

$$[\vec{U} \wedge \vec{V}, \vec{U}]_{\mathcal{S}_k(L)}$$

is a Boolean lattice.

*Proof.* Let

$$\vec{W} := (W_{\vec{e}})_{\vec{e} \in \mathbf{2}^k} \in [\vec{U} \wedge \vec{V}, \vec{U}]_{\mathcal{S}_k(L)}.$$

Thus, for all  $\vec{e} \in \mathbf{2}^k$ ,  $W_{\vec{e}} \in [U_{\vec{e}} \cap V_{\vec{e}}, U_{\vec{e}}]_L$ , so there exists  $W'_{\vec{e}} \in [U_{\vec{e}} \cap V_{\vec{e}}, U_{\vec{e}}]_L$  such that  $W_{\vec{e}} \cap W'_{\vec{e}} = U_{\vec{e}} \cap V_{\vec{e}}$  and  $W_{\vec{e}} \cup W'_{\vec{e}} = U_{\vec{e}}$ .

By Lemma 5.1,  $\vec{W}' := (W'_{\vec{e}})_{\vec{e} \in \mathbf{2}^k}$ , belongs to  $\mathcal{S}_k(L)$ ; clearly  $\vec{W} \wedge \vec{W}' = \vec{U} \wedge \vec{V}$  and  $\vec{W} \vee \vec{W}' = \vec{U}$ . ■

LEMMA 5.3. Let  $\vec{U}_0 := (U_{\vec{e}0})_{\vec{e} \in \mathbf{2}^k}$ ,  $\vec{U}_1 := (U_{\vec{e}1})_{\vec{e} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that  $(\vec{U}_0, \vec{U}_1)$  belongs to  $\mathcal{S}_1(\mathcal{S}_k(L))$ .

Then  $\downarrow U_{\vec{\delta}0} \subseteq \downarrow U_{\vec{e}1}$  for all  $\vec{\delta}, \vec{e} \in \mathbf{2}^k$  such that  $\vec{\delta} < \vec{e}$ .

*Proof.* Fix,  $\vec{\delta}, \vec{e} \in \mathbf{2}^k$  such that  $\vec{\delta} < \vec{e}$ . By Note 4.3,

$$[\vec{U}_0 \wedge \vec{U}_1, \vec{U}_0]_{\mathcal{S}_k(L)}$$

is a Boolean lattice.

For all  $\vec{\eta} \in \mathbf{2}^k$ , let

$$W_{\vec{\eta}} := \begin{cases} U_{\vec{\eta}0} \cap U_{\vec{\eta}1} & \text{if } \vec{\eta} < \vec{\varepsilon} \\ U_{\vec{\eta}0} & \text{otherwise.} \end{cases}$$

Then  $\vec{W} := (W_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$ ; indeed

$$\vec{W} \in [\vec{U}_0 \wedge \vec{U}_1, \vec{U}_0]_{\mathcal{S}_k(L)}.$$

Let  $\vec{W}' := (W'_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that  $\vec{W} \wedge \vec{W}' = \vec{U}_0 \wedge \vec{U}_1$  and  $\vec{W} \vee \vec{W}' = \vec{U}_0$ .

Clearly  $W'_{\vec{\delta}} = U_{\vec{\delta}0}$  and  $W'_{\vec{\varepsilon}} = U_{\vec{\varepsilon}0} \cap U_{\vec{\varepsilon}1}$ . Hence  $\downarrow U_{\vec{\delta}0} \subseteq U_{\vec{\varepsilon}1}$ . ■

**LEMMA 5.4.** *Let  $\vec{U}_0 := (U_{\vec{\varepsilon}0})_{\vec{\varepsilon} \in \mathbf{2}^k}$ ,  $\vec{U}_1 := (U_{\vec{\varepsilon}1})_{\vec{\varepsilon} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that  $(\vec{U}_0, \vec{U}_1)$  belongs to  $\mathcal{S}_1(\mathcal{S}_k(L))$ .*

*Then for all  $\vec{\varepsilon} \in \mathbf{2}^k$ ,  $\downarrow U_{\vec{\varepsilon}0} \subseteq U_{\vec{\varepsilon}1}$ .*

*Proof.* Fix  $\vec{\varepsilon} \in \mathbf{2}^k$ . It suffices to prove that  $[U_{\vec{\varepsilon}0} \cap U_{\vec{\varepsilon}1}, U_{\vec{\varepsilon}0}]_L$  is a Boolean lattice. Let  $W \in [U_{\vec{\varepsilon}0} \cap U_{\vec{\varepsilon}1}, U_{\vec{\varepsilon}0}]_L$ .

For all  $\vec{\eta} \in \mathbf{2}^k$ , let

$$(W_{\vec{\eta}}) := \begin{cases} U_{\vec{\eta}0} \cap U_{\vec{\eta}1} & \text{if } \vec{\eta} < \vec{\varepsilon}, \\ W & \text{if } \vec{\eta} = \vec{\varepsilon} \\ U_{\vec{\eta}0} & \text{otherwise.} \end{cases}$$

Then  $\vec{W} := (W_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$ , and it lies in the Boolean interval

$$[\vec{U}_0 \wedge \vec{U}_1, \vec{U}_0]_{\mathcal{S}_k(L)}.$$

Let  $\vec{W}' := (W'_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in \mathcal{S}_k(L)$  be such that  $\vec{W} \wedge \vec{W}' = \vec{U}_0 \wedge \vec{U}_1$  and  $\vec{W} \vee \vec{W}' = \vec{U}_0$ . Clearly  $W \cap W'_{\vec{\varepsilon}} = U_{\vec{\varepsilon}0} \cap U_{\vec{\varepsilon}1}$  and  $W \cup W'_{\vec{\varepsilon}} = U_{\vec{\varepsilon}0}$ . ■

**THEOREM 5.5.** *The lattices  $\mathcal{S}_{k+1}(L)$  and  $\mathcal{S}_1(\mathcal{S}_k(L))$  are isomorphic. Define a map*

$$\Phi: \mathcal{S}_{k+1}(L) \rightarrow \mathcal{S}_1(\mathcal{S}_k(L))$$

as follows: for all  $(U_{\vec{\zeta}})_{\vec{\zeta} \in \mathbf{2}^{k+1}} \in \mathcal{S}_{k+1}(L)$ , let

$$\Phi((U_{\vec{\zeta}})_{\vec{\zeta} \in \mathbf{2}^{k+1}}) = ((U_{\vec{\varepsilon}0})_{\vec{\varepsilon} \in \mathbf{2}^k}, (U_{\vec{\varepsilon}1})_{\vec{\varepsilon} \in \mathbf{2}^k}).$$

Define a map

$$\Psi: \mathcal{S}_1(\mathcal{S}_k(L)) \rightarrow \mathcal{S}_{k+1}(L)$$

as follows: for all  $((U_{\varepsilon 0}^{\rightarrow})_{\bar{\varepsilon} \in 2^k}, (U_{\varepsilon 1}^{\rightarrow})_{\bar{\varepsilon} \in 2^k}) \in \mathcal{S}_1(\mathcal{S}_k(L))$ , let

$$\Psi((U_{\varepsilon 0}^{\rightarrow})_{\bar{\varepsilon} \in 2^k}, (U_{\varepsilon 1}^{\rightarrow})_{\bar{\varepsilon} \in 2^k}) = (U_{\zeta}^{\rightarrow})_{\zeta \in 2^{k+1}}.$$

Then  $\Phi$  and  $\Psi$  are mutually inverse order-isomorphisms.

*Proof.* By Corollary 5.2 and Note 4.3,  $\Phi$  is well defined. By Lemmas 5.3 and 5.4,  $\Psi$  is well defined. They are clearly order-preserving and inverses to each other. ■

As a corollary, we solve Grätzer’s first problem ([3]; see Section 1):

**COROLLARY 5.6.** *Let  $L, M \in \mathbf{D}$  be such that  $S_1(L) \cong S_1(M)$ . Then  $S_k(L) \cong S_k(M)$ . ■*

**EXAMPLE 5.7.** Let  $L$  be the three-element chain. In Example 4.8, we computed  $S_1(L)$  and  $S_2(L)$ . In Example 4.10, we computed  $S_1(M)$ , where  $M \cong S_1(L)$ . In both examples, we listed the elements of  $\mathcal{S}_2(L)$  and  $\mathcal{S}_1(\mathcal{S}_1(L))$ . The isomorphism of Theorem 5.5 can be easily seen by turning each  $2 \times 2$  matrix of Example 4.8 into an ordered pair by grouping the rows together and using the isomorphism  $S_1(L) \cong M$  given by

$$\begin{aligned} (\emptyset, \emptyset) &\mapsto \emptyset \\ (\emptyset, a) &\mapsto y \\ (\emptyset, ab) &\mapsto yz \\ (a, \emptyset) &\mapsto w \\ (a, a) &\mapsto wy \\ (a, ab) &\mapsto wyz \\ (ab, a) &\mapsto wx y \\ (ab, ab) &\mapsto wx yz. \end{aligned}$$

## 6. THE PRIESTLEY DUAL OF THE LATTICE OF BOOLEAN FUNCTIONS: THE SOLUTION TO GRÄTZER’S SECOND PROBLEM

In this section, we solve Problem 2 of Section 1 posed by Grätzer in 1964 and restated in 1978 in his influential book (Theorems 6.7 and 6.9): We completely characterize the lattices that can arise as  $S_k(L)$  or  $S(L)$  for a bounded distributive lattice  $L$ . We do so in terms their Priestley spaces of prime ideals.

Recall that  $P \in \mathbf{P}$  and  $L = \mathcal{O}(P)$ .

NOTE 6.1. *Let  $p \in P$ . The following are equivalent:*

- (1)  $p$  is normal;
- (2) there exist  $U, V \in \mathcal{O}(P)$  such that  $U \setminus V$  is an antichain containing  $p$ ;
- (3) there exist  $W \in \mathcal{O}(P)$  and a clopen subset  $C$  of  $P$  such that  $p \in C \subseteq \text{Max } W$ .

*Proof.* Note 4.3 gives the equivalence of (1) and (2) and the fact that (2) implies (3). To show that (3) implies (2), let  $U, V \in \mathcal{O}(P)$  be such that  $p \in U \setminus V \subseteq C$ . Then  $U \setminus V$  is an antichain. ■

LEMMA 6.2. *Let  $p, q \in P$  and let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$ . Assume that  $p < q$  and  $\vec{\delta} \geq \vec{\varepsilon}$ . Then  $I_{p, \vec{\delta}} \subseteq I_{q, \vec{\varepsilon}}$ .*

*Proof.* Let  $(U_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in I_{p, \vec{\delta}}$ . Then  $p \notin U_{\vec{\delta}}$ . Assume for a contradiction that  $q \in U_{\vec{\varepsilon}}$ . Then  $p \in \downarrow U_{\vec{\varepsilon}}$  and hence  $p \in U_{\vec{\delta}}$ , a contradiction. ■

LEMMA 6.3. *Let  $p \in P$  and let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$ . Assume that  $p$  is special and that  $\vec{\delta} \geq \vec{\varepsilon}$ .*

*Then  $I_{p, \vec{\delta}} \subseteq I_{p, \vec{\varepsilon}}$ .*

*Proof.* Let  $(U_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in I_{p, \vec{\delta}}$ . Then  $p \notin U_{\vec{\delta}}$  and  $\downarrow U_{\vec{\varepsilon}} \subseteq U_{\vec{\delta}}$ , so, by Note 4.3,  $U_{\vec{\varepsilon}} \setminus U_{\vec{\delta}}$  is an antichain. Hence  $p \notin U_{\vec{\varepsilon}}$ , by Note 6.1. ■

LEMMA 6.4. *Let  $p, q \in P$  and let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$ . Assume that  $I_{p, \vec{\delta}} \subseteq I_{q, \vec{\varepsilon}}$ . Then  $p \leq q$ .*

*Proof.* Assume for a contradiction that  $p \not\leq q$ . Let  $U \in \mathcal{O}(P)$  be such that  $p \notin U$  and  $q \in U$ . Then  $(U)_{\vec{\eta} \in \mathbf{2}^k} \in I_{p, \vec{\delta}} \setminus I_{q, \vec{\varepsilon}}$ , a contradiction. ■

LEMMA 6.5. *Let  $p, q \in P$  and let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$ . Assume that  $I_{p, \vec{\delta}} \subseteq I_{q, \vec{\varepsilon}}$ . Then  $\vec{\delta} \geq \vec{\varepsilon}$ .*

*Proof.* Assume for a contradiction that  $\vec{\delta} \not\geq \vec{\varepsilon}$ . For all  $\vec{\eta} \in \mathbf{2}^k$ , let

$$U_{\vec{\eta}} := \begin{cases} P & \text{if } \vec{\eta} \geq \vec{\varepsilon}, \\ \emptyset & \text{otherwise.} \end{cases}$$

Then  $(U_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in I_{p, \vec{\delta}} \setminus I_{q, \vec{\varepsilon}}$ , a contradiction. ■

LEMMA 6.6. *Let  $p \in P$  and let  $\vec{\delta}, \vec{\varepsilon} \in \mathbf{2}^k$ . Assume that  $I_{p, \vec{\delta}} \subseteq I_{p, \vec{\varepsilon}}$  where  $\vec{\delta} \neq \vec{\varepsilon}$ .*

*Then  $p$  is special.*



*Proof.* By Lemma 6.5,  $\vec{\delta} > \vec{\epsilon}$ .

Assume, for a contradiction, that  $p$  is normal. By Notes 4.3 and 6.1, there exist  $U, V \in \mathcal{O}(P)$  such that  $p \in U \setminus V$  and  $\downarrow U \subseteq V$ . For all  $\vec{\eta} \in \mathbf{2}^k$ , let

$$W_{\vec{\eta}} := \begin{cases} V & \text{if } \vec{\eta} \geq \vec{\delta}, \\ U & \text{if } \vec{\eta} \not\geq \vec{\delta}. \end{cases}$$

Then  $(W_{\vec{\eta}})_{\vec{\eta} \in \mathbf{2}^k} \in I_{p, \vec{\delta}} \setminus I_{p, \vec{\epsilon}}$ , a contradiction.  $\blacksquare$

**THEOREM 6.7.** *The Priestley space of  $S_k(L)$  is order-homeomorphic to the ordered space  $P \times \mathbf{2}^k$ .*

*Define the order-homeomorphism  $\Phi: P(\mathcal{S}_k(L)) \rightarrow P \times \mathbf{2}^k$  as follows: for all  $p \in P, \vec{\epsilon} \in \mathbf{2}^k$ , let  $\Phi(I_{p, \vec{\epsilon}}) = (p, \vec{\epsilon}')$ .*

*Proof.* By Lemmas 6.4 and 6.5,  $\Phi$  is well defined and order-preserving. By Lemmas 6.2 and 6.3,  $\Phi$  is an order-embedding.

Obviously  $\Phi$  is onto. Hence  $\Phi$  is an order-isomorphism.

To prove that  $\Phi$  is a homeomorphism, let

$$\Psi: P(L^{2^k}) \rightarrow P(\mathcal{S}_k(L))$$

be the function sending  $\{(U_{\vec{\epsilon}})_{\vec{\epsilon} \in \mathbf{2}^k} \in L^{2^k} \mid p \notin U_{\vec{\epsilon}}\}$  to  $I_{p, \vec{\epsilon}}$  for all  $p \in P, \vec{\epsilon} \in \mathbf{2}^k$ . We know that  $\Psi$  is continuous. It is also a bijection. Since Priestley spaces are compact and Hausdorff,  $\Psi$  is a homeomorphism (see, for instance, [1], Lemma 10.7A).  $\blacksquare$

After seeing Theorem 6.7 for finite lattices, M. Maróti made the following observation:

**COROLLARY 6.8.** *If  $L$  is finite, then  $(\mathcal{J}(S_k(L)), <)$  is isomorphic to*

$$(\mathcal{J}(L), <) \times (\mathbf{2}^k, \leq).$$

**THEOREM 6.9.** *The Priestley space of  $S(L)$  is order-homeomorphic to  $P \times \mathbf{2}^{\mathbb{N}}$ .*

*Proof.* Clearly  $P \times \mathbf{2}^{\mathbb{N}}$  is a Priestley space. For all  $k \in \mathbb{N}$ , let

$$\pi_k: P \times \mathbf{2}^{\mathbb{N}} \rightarrow P \times \mathbf{2}^k$$

be the obvious projection; similarly, define  $\pi_{kl}: P \times \mathbf{2}^l \rightarrow P \times \mathbf{2}^k$  for all  $k, l \in \mathbb{N}$  such that  $k \leq l$ .

We verify that  $(P \times \mathbf{2}^{\mathbb{N}}, (\pi_k: P \times \mathbf{2}^{\mathbb{N}} \rightarrow P \times \mathbf{2}^k)_{k \geq 1})$  is the inverse limit of the directed system

$$((P \times \mathbf{2}^k)_{k \geq 1}, (\pi_{kl}: P \times \mathbf{2}^l \rightarrow P \times \mathbf{2}^k)_{1 \leq k \leq l})$$

in the category of Priestley spaces. ■

**EXAMPLE 6.10.** Let  $P$  be the two-element chain  $\{a, b\}$  of Example 4.8 and let  $L = \mathcal{O}(P)$  (Fig. 1). Figure 6 shows  $P \times \mathbf{2}$  and  $P \times \mathbf{2}$ .

Note that  $P \times \mathbf{2}$  is order-isomorphic to  $\mathcal{J}(S_1(L))$ , so that  $\mathcal{O}(P \times \mathbf{2}) \cong S_1(L)$  (Figs. 2 and 7).

Figure 8 shows  $P$ ,  $\mathbf{2}^2$ ,  $P \times \mathbf{2}^2$ , and  $P \times \mathbf{2}^2$ .

**EXAMPLE 6.11** Let  $P$  be the three-element fence  $\{a, b, c\}$  of Example 4.9 and let  $L = \mathcal{O}(P)$  (Fig. 3). Figure 9 shows  $P$ ,  $P \times \mathbf{2}$ , and  $P \times \mathbf{2}$ .

Note that  $P \times \mathbf{2}$  is order-isomorphic to  $\mathcal{J}(S_1(L))$ , so that  $\mathcal{O}(P \times \mathbf{2}) \cong S_1(L)$  (Figs. 4 and 10).

Indeed,  $\mathcal{J}(S_1(L)) = \{(\emptyset, a), (\emptyset, ab), (\emptyset, ac), (a, \emptyset), (ab, \emptyset), (ac, \emptyset)\}$ .

**EXAMPLE 6.12.** Let  $Q$  be the four-element fence  $\{w, x, y, z\}$  of Example 4.10 and let  $M = \mathcal{O}(Q)$  (Fig. 5). Figures 11 and 12 show  $Q$ ,  $Q \times \mathbf{2}$ , and  $Q \times \mathbf{2}$ .

Let  $P$  be the two-element chain of Example 6.10. Note that  $Q \cong P \times \mathbf{2}$  and that  $Q \times \mathbf{2} \cong (P \times \mathbf{2}) \times \mathbf{2}$  is order-isomorphic to  $P \times \mathbf{2}^2$  (Fig. 8) under the isomorphism

$$(w, 0) \mapsto (a, \alpha)$$

$$(x, 0) \mapsto (b, \alpha)$$

$$(y, 0) \mapsto (a, 0)$$

$$(z, 0) \mapsto (b, 0)$$

$$(w, 1) \mapsto (a, 1)$$

$$(x, 1) \mapsto (b, 1)$$

$$(y, 1) \mapsto (a, \beta)$$

$$(z, 1) \mapsto (b, \beta).$$

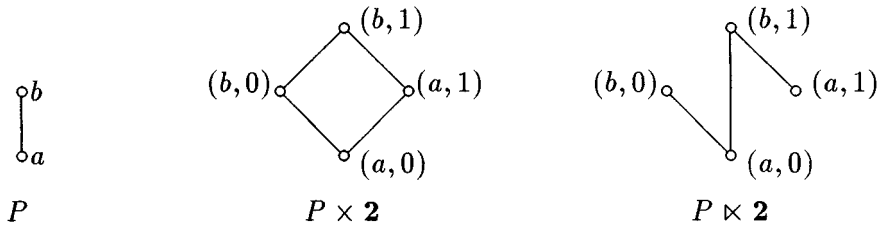


FIG. 6. The posets  $P$ ,  $P \times 2$ , and  $P \times 2$ .

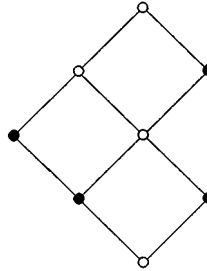


FIG. 7. The lattice  $S_1(L)$  and the poset  $\mathcal{J}(S_1(L))$ .

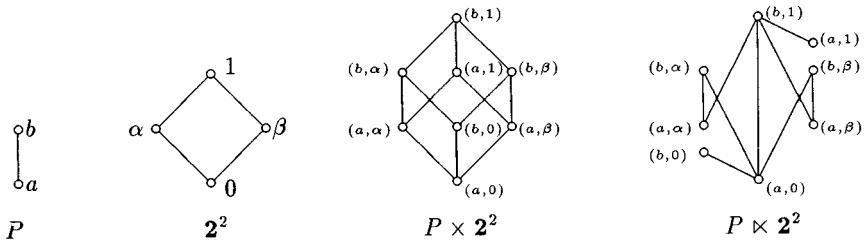


FIG. 8. The posets  $P$ ,  $2^2$ ,  $P \times 2^2$ , and  $P \times 2^2$ .

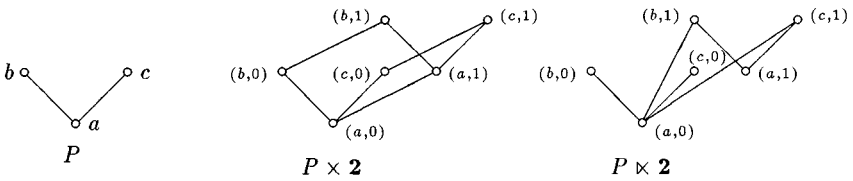


FIG. 9. The posets  $P$ ,  $P \times 2$ , and  $P \times 2$ .

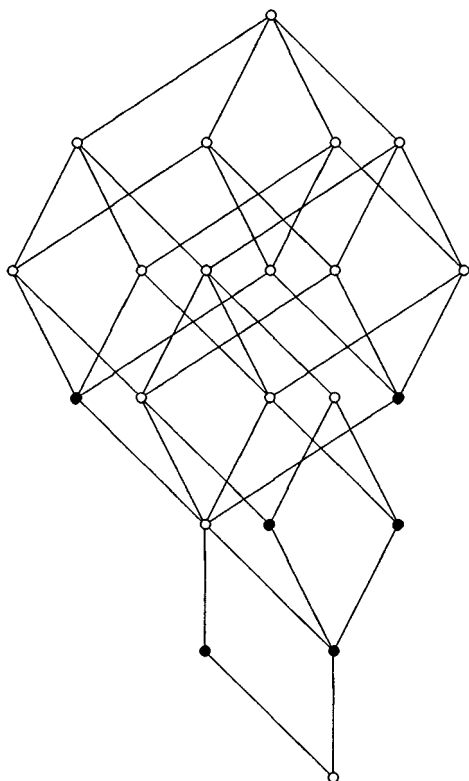


FIG. 10. The lattice  $\mathcal{S}_1(L)$  and the poset  $\mathcal{J}(S_1(L))$ .

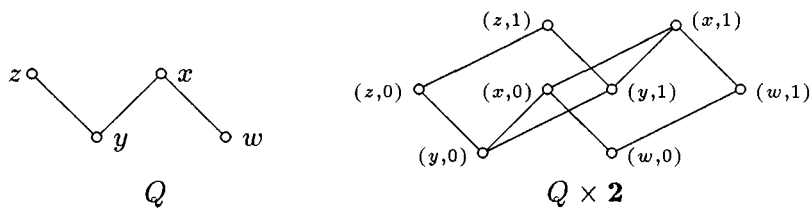


FIG. 11. The posets  $Q$  and  $Q \times 2$ .

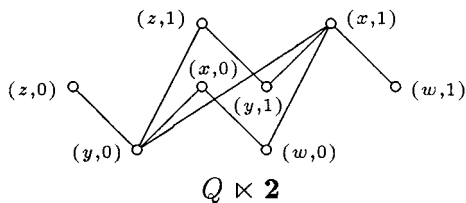


FIG. 12. The poset  $Q \times 2$ .

## 7. RECOVERING THE LATTICE FROM THE LATTICE OF BOOLEAN FUNCTIONS

In this section, we address Grätzer's remaining problem (see Section 1): We prove that a *finite* distributive lattice  $L$  is determined by its lattice of  $k$ -ary Boolean functions (Theorem 7.1), but *not* by the lattice of *all* Boolean functions (Note 7.2).

**THEOREM 7.1.** *Let  $L, M$  be finite distributive lattices such that  $S_k(L) \cong S_k(M)$ .*

*Then  $L \cong M$ .*

*Proof.* Let  $P := \mathcal{J}(L)$  and let  $Q := \mathcal{J}(M)$ . By Theorem 6.7 and Corollary 6.8,  $P \times \mathbf{2}^k \cong Q \times \mathbf{2}^k$ , so that  $(P, <) \times (\mathbf{2}^k, \leq) \cong (Q, <) \times (\mathbf{2}^k, \leq)$ . By [7], Theorem 3,  $(P, <) \cong (Q, <)$ , so that  $P \cong Q$  and hence  $L \cong M$ . ■

**NOTE 7.2.** *Let  $L$  be a nontrivial finite distributive lattice. Let  $\mathcal{M}$  be the family of finite lattices*

$$\{S_k(L) \mid k \geq 1\}.$$

*Then  $S(L) \cong S(M)$  for any  $M \in \mathcal{M}$ , but no two lattices in  $\mathcal{M}$  are isomorphic.*

*Proof.* The observation follows from Theorem 7.1 and the fact that, for any  $N \in \mathbf{D}$ ,  $S(N)$  is a limit of  $\{S_k(N) \mid k \geq 1\}$  in the category  $\mathbf{D}$ . ■

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